



**Health Industry Business Communications Council -
eBusiness Committee**



861 Receiving Advice/Acceptance Certificate

Functional Group ID=**RC**

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Receiving Advice/Acceptance Certificate Transaction Set (861) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to provide for customary and established business and industry practice relative to the notification of receipt or formal acceptance of goods and services.

Heading:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
M	010	ST	Transaction Set Header	M	1		
M	020	BRA	Beginning Segment for Receiving Advice or Acceptance Certificate	M	1		n1
Not Used	040	CUR	Currency	O	1		
	050	REF	Reference Identification	O	>1		
Not Used	060	PER	Administrative Communications Contact	O	3		
M	070	DTM	Date/Time Reference	M	10		
	080	PRF	Purchase Order Reference	O	25		
Not Used	090	TD1	Carrier Details (Quantity and Weight)	O	2		
Not Used	100	TD5	Carrier Details (Routing Sequence/Transit Time)	O	12		
Not Used	110	TD3	Carrier Details (Equipment)	O	12		
Not Used	120	TD4	Carrier Details (Special Handling, or Hazardous Materials, or Both)	O	5		
Not Used	125	MEA	Measurements	O	40		
LOOP ID - N1						200	
	130	N1	Name	O	1		
Not Used	140	N2	Additional Name Information	O	2		
Not Used	150	N3	Address Information	O	2		
Not Used	160	N4	Geographic Location	O	1		
Not Used	170	REF	Reference Identification	O	100		
Not Used	180	PER	Administrative Communications Contact	O	3		
Not Used	190	FOB	F.O.B. Related Instructions	O	1		
LOOP ID - LM						10	
Not Used	200	LM	Code Source Information	O	1		
Not Used	210	LQ	Industry Code	M	100		

Detail:

<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
LOOP ID - RCD						200000
010	RCD	Receiving Conditions	O	1		
Not Used	020	SN1	Item Detail (Shipment)	O	1	
Not Used	030	CUR	Currency	O	1	
	040	LIN	Item Identification	O	100	
Not Used	050	PID	Product/Item Description	O	1000	
Not Used	060	PO4	Item Physical Details	O	100	
Not Used	070	REF	Reference Identification	O	12	
Not Used	080	PER	Administrative Communications Contact	O	3	
Not Used	090	DTM	Date/Time Reference	O	10	
Not Used	100	PRF	Purchase Order Reference	O	25	
Not Used	110	MEA	Measurements	O	>1	
Not Used	120	FOB	F.O.B. Related Instructions	O	1	
Not Used	130	TD1	Carrier Details (Quantity and Weight)	O	20	
Not Used	140	TD5	Carrier Details (Routing Sequence/Transit Time)	O	12	
Not Used	150	TD3	Carrier Details (Equipment)	O	12	
Not Used	160	TD4	Carrier Details (Special Handling, or Hazardous Materials, or Both)	O	5	
Not Used	170	SAC	Service, Promotion, Allowance, or Charge Information	O	10	
Not Used	180	MAN	Marks and Numbers	O	>1	
LOOP ID - LM						10
Not Used	185	LM	Code Source Information	O	1	
Not Used	186	LQ	Industry Code	M	100	
LOOP ID - SLN						100
	190	SLN	Subline Item Detail	O	1	
Not Used	200	PID	Product/Item Description	O	1000	
Not Used	203	NM1	Individual or Organizational Name	O	1	
LOOP ID - LM						10
Not Used	205	LM	Code Source Information	O	1	
Not Used	206	LQ	Industry Code	M	100	
LOOP ID - N1						200
Not Used	210	N1	Name	O	1	
Not Used	220	N2	Additional Name Information	O	2	
Not Used	230	N3	Address Information	O	2	
Not Used	240	N4	Geographic Location	O	1	
Not Used	250	REF	Reference Identification	O	100	
Not Used	260	PER	Administrative Communications Contact	O	3	
Not Used	270	FOB	F.O.B. Related Instructions	O	1	

Summary:

<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
010	CTT	Transaction Totals	O	1		n2
M	020	SE	Transaction Set Trailer	M	1	

Transaction Set Notes

1. This transaction set is a Receiving Advice unless BRA04 contains a value of "8". When BRA04 contains a value of "8", the transaction set is an Acceptance Certificate and the units received is the units accepted.
2. The number of line items (CTT01) is the accumulation of the number of RCD segments. If used, hash total (CTT02) is the sum of the value of quantities received (RCD02) for each RCD segment.

Segment: **ST** Transaction Set Header
Position: 010
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the start of a transaction set and to assign a control number
Syntax Notes:
Semantic Notes: 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
Comments:

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	ST01	143	Transaction Set Identifier Code Code uniquely identifying a Transaction Set 861 Receiving Advice/Acceptance Certificate	M ID 3/3
M	ST02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M AN 4/9

Segment: **BRA** Beginning Segment for Receiving Advice or Acceptance Certificate
Position: 020
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a Receiving Advice or Acceptance Certificate Transaction Set and transmit an identifying number, date, and time

Syntax Notes:

- Semantic Notes:**
- 1 BRA02 is the date that the receiving advice transaction set is created.
 - 2 BRA05 is the time that the receiving advice transaction set is created.

Comments:

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	BRA01	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Bill of Lading number	M AN 1/30
M	BRA02	373	Date Date expressed as CCYYMMDD	M DT 8/8
M	BRA03	353	Transaction Set Purpose Code Code identifying purpose of transaction set 00 Original 06 Confirmation 25 Incremental	M ID 2/2
M	BRA04	962	Receiving Advice or Acceptance Certificate Type Code Code specifying type of receiving advice 1 Receiving Dock Advice	M ID 1/1

Segment: **REF** Reference Identification
Position: 050
Loop:
Level: Heading
Usage: Optional
Max Use: >1
Purpose: To specify identifying information
Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Comments:

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification IV Seller's Invoice Number VN Vendor Order Number	M ID 2/3
	REF02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **DTM** Date/Time Reference
Position: 070
Loop:
Level: Heading
Usage: Mandatory
Max Use: 10
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Comments:

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	DTM01	374	Date/Time Qualifier Code specifying type of date or time, or both date and time 003 Invoice	M ID 3/3
	DTM02	373	Date Date expressed as CCYYMMDD	X DT 8/8

Segment: **PRF** Purchase Order Reference
Position: 080
Loop:
Level: Heading
Usage: Optional
Max Use: 25
Purpose: To provide reference to a specific purchase order
Syntax Notes:
Semantic Notes: 1 PRF04 is the date assigned by the purchaser to purchase order.
Comments:

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	PRF01	324	Purchase Order Number Identifying number for Purchase Order assigned by the orderer/purchaser	M AN 1/22
	PRF04	373	Date Date expressed as CCYYMMDD	O DT 8/8

Segment: **N1** Name
Position: 130
Loop: N1 Optional
Level: Heading
Usage: Optional
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 2 N105 and N106 further define the type of entity in N101.

Data Element Summary

Ref.	Data Element	Name	Attributes
M	N101	Entity Identifier Code	M ID 2/3
		Code identifying an organizational entity, a physical location, property or an individual	
		DB Distributor Branch	
		MF Manufacturer of Goods	
		HIBCC RECOMMENDS THE USE OF THE LABELER IDENTIFICATION CODE (LIC) IN THE PRODUCT / SERVICE ID (D.E. 234) TO IDENTIFY THE MANUFACTURER.	
		ST Ship To	
N102	93	Name	X AN 1/60
		Free-form name	
N103	66	Identification Code Qualifier	X ID 1/2
		Code designating the system/method of code structure used for Identification Code (67)	
		6 Plant Code	
		9 D-U-N-S+4, D-U-N-S Number with Four Character Suffix	
		11 Drug Enforcement Administration (DEA)	
		21 Health Industry Number (HIN)	
		91 Assigned by Seller or Seller's Agent	
		92 Assigned by Buyer or Buyer's Agent	
N104	67	Identification Code	X AN 2/80
		Code identifying a party or other code	

Segment: **RCD** Receiving Conditions
Position: 010
Loop: RCD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To report receiving conditions and specify contested quantities
Syntax Notes:

- 1 At least one of RCD02 RCD04 or RCD06 is required.
- 2 If either RCD02 or RCD03 is present, then the other is required.
- 3 If either RCD04 or RCD05 is present, then the other is required.
- 4 If any of RCD06 RCD07 or RCD08 is present, then all are required.
- 5 If any of RCD09 RCD10 or RCD11 is present, then all are required.
- 6 If any of RCD12 RCD13 or RCD14 is present, then all are required.
- 7 If any of RCD15 RCD16 or RCD17 is present, then all are required.
- 8 If any of RCD18 RCD19 or RCD20 is present, then all are required.

Semantic Notes:

- 1 RCD01 is the receiving advice line item identification.
- 2 RCD21 is the cumulative quantity of goods received for a specific time period.

Comments:

- 1 See the Data Element Dictionary for a complete list of receiving condition IDs.
- 2 RCD06 through RCD20 provide for five different quantities whose condition upon receipt is under question.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
RCD01	350	Assigned Identification Alphanumeric characters assigned for differentiation within a transaction set	O AN 1/20
RCD02	663	Quantity Units Received or Accepted Number of Units Received or Accepted	X R 1/9
RCD03	C001	Composite Unit of Measure To identify a composite unit of measure (See Figures Appendix for examples of use)	X
M	C00101	355 Unit or Basis for Measurement Code Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/2
RCD04	664	Quantity Units Returned Number of units returned	X R 1/9
RCD05	C001	Composite Unit of Measure To identify a composite unit of measure (See Figures Appendix for examples of use)	X
M	C00101	355 Unit or Basis for Measurement Code Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/2
RCD06	667	Quantity in Question Number of units contested because of physical condition or status of units	X R 1/9
RCD07	C001	Composite Unit of Measure To identify a composite unit of measure (See Figures Appendix for examples of use)	X
M	C00101	355 Unit or Basis for Measurement Code Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/2
RCD08	412	Receiving Condition Code Code designating physical condition or status of units received in a specific shipment	X ID 2/2

			Refer to 004010 Data Element Dictionary for acceptable code values.	
	RCD09	667	Quantity in Question	X R 1/9
			Number of units contested because of physical condition or status of units	
	RCD10	C001	Composite Unit of Measure	X
			To identify a composite unit of measure (See Figures Appendix for examples of use)	
M	C00101	355	Unit or Basis for Measurement Code	M ID 2/2
			Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	RCD11	412	Receiving Condition Code	X ID 2/2
			Code designating physical condition or status of units received in a specific shipment	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	RCD12	667	Quantity in Question	X R 1/9
			Number of units contested because of physical condition or status of units	
	RCD13	C001	Composite Unit of Measure	X
			To identify a composite unit of measure (See Figures Appendix for examples of use)	
M	C00101	355	Unit or Basis for Measurement Code	M ID 2/2
			Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken	
			Refer to 004010 Data Element Dictionary for acceptable code values.	

Segment: **LIN** Item Identification

Position: 040

Loop: RCD Optional

Level: Detail

Usage: Optional

Max Use: 100

Purpose: To specify basic item identification data

Syntax Notes:

- 1 If either LIN04 or LIN05 is present, then the other is required.
- 2 If either LIN06 or LIN07 is present, then the other is required.
- 3 If either LIN08 or LIN09 is present, then the other is required.
- 4 If either LIN10 or LIN11 is present, then the other is required.
- 5 If either LIN12 or LIN13 is present, then the other is required.
- 6 If either LIN14 or LIN15 is present, then the other is required.
- 7 If either LIN16 or LIN17 is present, then the other is required.
- 8 If either LIN18 or LIN19 is present, then the other is required.
- 9 If either LIN20 or LIN21 is present, then the other is required.
- 10 If either LIN22 or LIN23 is present, then the other is required.
- 11 If either LIN24 or LIN25 is present, then the other is required.
- 12 If either LIN26 or LIN27 is present, then the other is required.
- 13 If either LIN28 or LIN29 is present, then the other is required.
- 14 If either LIN30 or LIN31 is present, then the other is required.

Semantic Notes:

- 1 LIN01 is the line item identification

Comments:

- 1 See the Data Dictionary for a complete list of IDs.
- 2 LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	LIN02	235 Product/Service ID Qualifier	M ID 2/2
		Code identifying the type/source of the descriptive number used in Product/Service ID (234)	
		AT	Assortability Code
		CB	Buyer's Catalog Number
		CE	Class of Contract Code
		CG	Commodity Grouping
		CR	Contract Number
		DG	Discount Grouping
		FS	National Stock Number
		HI	HIBC (Health Care Industry Bar Code)
		IN	Buyer's Item Number
		LT	Lot Number
		MF	Manufacturer
		HIBCC RECOMMENDS THE USE OF THE LABELER IDENTIFICATION CODE (LIC) IN THE PRODUCT / SERVICE ID (D.E. 234) TO IDENTIFY THE MANUFACTURER.	
		MG	Manufacturer's Part Number
		N1	National Drug Code in 4-4-2 Format 4-digit manufacturer ID, 4-digit product ID, 2-digit trade package size
		N2	National Drug Code in 5-3-2 Format 5-digit manufacturer ID, 3-digit product ID, 2-digit trade package size
		N3	National Drug Code in 5-4-1 Format 5-digit manufacturer ID, 4-digit product ID, 1-digit trade

Segment:	SLN Subline Item Detail
Position:	190
Loop:	SLN Optional
Level:	Detail
Usage:	Optional
Max Use:	1
Purpose:	To specify product subline detail item data
Syntax Notes:	<ol style="list-style-type: none"> 1 If either SLN04 or SLN05 is present, then the other is required. 2 If SLN07 is present, then SLN06 is required. 3 If SLN08 is present, then SLN06 is required. 4 If either SLN09 or SLN10 is present, then the other is required. 5 If either SLN11 or SLN12 is present, then the other is required. 6 If either SLN13 or SLN14 is present, then the other is required. 7 If either SLN15 or SLN16 is present, then the other is required. 8 If either SLN17 or SLN18 is present, then the other is required. 9 If either SLN19 or SLN20 is present, then the other is required. 10 If either SLN21 or SLN22 is present, then the other is required. 11 If either SLN23 or SLN24 is present, then the other is required. 12 If either SLN25 or SLN26 is present, then the other is required. 13 If either SLN27 or SLN28 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 SLN01 is the identifying number for the subline item. 2 SLN02 is the identifying number for the subline level. The subline level is analogous to the level code used in a bill of materials. 3 SLN03 is the configuration code indicating the relationship of the subline item to the baseline item. 4 SLN08 is a code indicating the relationship of the price or amount to the associated segment.
Comments:	<ol style="list-style-type: none"> 1 See the Data Element Dictionary for a complete list of IDs. 2 SLN01 is related to (but not necessarily equivalent to) the baseline item number. Example: 1.1 or 1A might be used as a subline number to relate to baseline number 1. 3 SLN09 through SLN28 provide for ten different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	SLN01	350 Assigned Identification Alphanumeric characters assigned for differentiation within a transaction set	M AN 1/20
M	SLN03	662 Relationship Code Code indicating the relationship between entities I Included	M ID 1/1
	SLN04	380 Quantity Numeric value of quantity	X R 1/15
	SLN05	C001 Composite Unit of Measure To identify a composite unit of measure (See Figures Appendix for examples of use)	X
M	C00101	355 Unit or Basis for Measurement Code Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/2
	SLN06	212 Unit Price Price per unit of product, service, commodity, etc.	X R 1/17
	SLN07	639 Basis of Unit Price Code Code identifying the type of unit price for an item Refer to 004010 Data Element Dictionary for acceptable code values.	O ID 2/2

SLN08

662

Relationship Code

O ID 1/1

Code indicating the relationship between entities

I Included

Segment: **CTT** Transaction Totals
Position: 010
Loop:
Level: Summary
Usage: Optional
Max Use: 1
Purpose: To transmit a hash total for a specific element in the transaction set
Syntax Notes: 1 If either CTT03 or CTT04 is present, then the other is required.
 2 If either CTT05 or CTT06 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment is intended to provide hash totals to validate transaction completeness and correctness.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	CTT01	354	Number of Line Items Total number of line items in the transaction set Count of LIN segments	M N0 1/6
	CTT02	347	Hash Total Sum of values of the specified data element. All values in the data element will be summed without regard to decimal points (explicit or implicit) or signs. Truncation will occur on the left most digits if the sum is greater than the maximum size of the hash total of the data element. Example: -.0018 First occurrence of value being hashed. .18 Second occurrence of value being hashed. 1.8 Third occurrence of value being hashed. 18.01 Fourth occurrence of value being hashed. ----- 1855 Hash total prior to truncation. 855 Hash total after truncation to three-digit field.	O R 1/10

Segment: **SE** Transaction Set Trailer
Position: 020
Loop:
Level: Summary
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:

Semantic Notes:

Comments: 1 SE is the last segment of each transaction set.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
M	SE01	96	Number of Included Segments Total number of segments included in a transaction set including ST and SE segments	M N0 1/10
M	SE02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M AN 4/9